

Substitute Bill No. 6812

January Session, 2001

## AN ACT CONCERNING UNEMPLOYMENT COMPENSATION NOTICES AND PROCEDURES.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. Section 31-240 of the general statutes is repealed and the
- 2 following is substituted in lieu thereof:
- 3 (a) Claims for benefits shall be made, in accordance with such
- 4 regulations as the administrator may prescribe, at the public
- 5 employment bureau or branch most easily accessible either from the
- 6 individual's place of residence or from the place of [his] the
- 7 <u>individual's</u> most recent employment, as designated by the
- 8 administrator.
- 9 (b) Not later than January 1, 2002, the administrator shall make 10 notice of claim forms available in both English and Spanish.
- 11 (c) The administrator shall ensure that a sufficient number of
- 12 employees proficient in both English and Spanish are available to
- 13 provide assistance to claimants who elect to file their claims by
- 14 <u>telephone utilizing the tele-benefits claim system.</u>
- 15 Sec. 2. Section 31-241 of the general statutes is repealed and the
- 16 following is substituted in lieu thereof:
- 17 (a) (1) The administrator, or a deputy or representative designated

18 by [him] the administrator and hereinafter referred to as an examiner, 19 shall promptly examine the initiating claim and, on the basis of the 20 facts found by [him] the administrator or an examiner, shall determine 21 whether or not such claim is valid and, if valid, the weekly amount of 22 benefits payable and the maximum possible duration [thereof. He] of 23 benefits. The administrator or an examiner shall promptly notify the 24 claimant of the decision and the reasons [therefore] for the decision, 25 which notification shall set forth the provision of this section for 26 appeal. The administrator or an examiner shall promptly examine each 27 claim for a benefit payment for a week of unemployment and, on the 28 basis of the facts found by [him] the administrator or an examiner, 29 shall determine whether or not the claimant is eligible to receive such 30 benefit payment for such week and the amount of benefits payable for 31 such week. The determination of eligibility by the administrator or an 32 examiner shall be based upon evidence or testimony presented in such 33 a manner as the administrator shall prescribe, including in person, in 34 writing, by telephone or by other electronic means at a hearing called 35 for such purpose. The administrator or an examiner shall provide, at 36 no cost to either party, a qualified interpreter at the hearing at the 37 request of a party or upon the determination by the administrator or 38 examiner that a qualified interpreter is necessary. The administrator or 39 examiner shall administer a separate oath or affirmation to each 40 qualified interpreter who participates in a hearing which shall obligate 41 the interpreter to interpret the proceedings in a complete and accurate 42 manner. Notice of the decision and the reasons [therefore] for the 43 decision shall be given to the claimant. The employers against whose 44 accounts charges may be made due to any benefits awarded by the 45 decision shall be notified of the initial determination of the claimant's 46 benefit entitlement at the time notice is given to the claimant, which 47 notification shall set forth the provisions of this section for appeal, 48 provided any employer who claims that the claimant is ineligible for 49 benefits because [his] the claimant's unemployment is due to the 50 existence of a labor dispute at such employer's factory, establishment or other premises, shall be notified of the decision and the reasons 52 [therefore] for the decision, whether or not benefits awarded by the

53 decision might be charged against such employer's account. The 54 employer's appeal rights shall be limited to the first notice [he] the 55 employer is given in connection with a claim [which] that sets forth 56 [his] the employer's appeal rights, and no issue may be appealed if 57 notice of such issue and the right to appeal such issue had previously 58 been given.

(2) Notwithstanding any provisions of this chapter, [to the contrary,] whenever the employer, after receiving notice of such hearing, fails to appear at the hearing or fails to timely submit a written response in a manner prescribed by the administrator, such employer's proportionate share of benefits paid to the claimant prior to the issuance of a decision by a referee under section 31-242 for any week beginning prior to the forty-second day after the end of the calendar week in which the employer's appeal was filed shall be charged against such employer's account and the claimant shall not be charged with an overpayment with respect to such benefits pursuant to subsection (a) of section 31-273.

(3) The decision of the administrator shall be final and benefits shall be paid or denied in accordance [therewith] with the decision unless the claimant or any of such employers, within twenty-one calendar days after such notification was mailed to [his] the last-known address, files an appeal from such decision and applies for a hearing, provided [(1)] (A) any such appeal [which] that is filed after such twenty-oneday period may be considered to be timely filed if the filing party shows good cause, as defined in regulations adopted pursuant to section 31-249h, for the late filing, [(2)] (B) if the last day for filing an appeal falls on any day when the offices of the Employment Security Division are not open for business, such last day shall be extended to the next business day, and [(3)] (C) if any such appeal is filed by mail, such appeal shall be considered timely filed if it was received within such twenty-one-day period or bears a legible United States postal service postmark which indicates that within such twenty-one-day period it was placed in the possession of such postal authorities for delivery to the appropriate office. Posting dates attributable to private

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- 87 postage meters shall not be considered in determining the timeliness of 88 appeals filed by mail. Where the administrator or examiner has 89 determined that the claimant is eligible for benefits, benefits shall be paid promptly in accordance with the determination regardless of the 90 91 pendency of the period to file an appeal or the pendency of such 92 appeal. [No examiner shall participate in any case in which he is an 93 interested party.] Any person who has filed a claim for benefits 94 pursuant to an agreement entered into by the administrator with the 95 proper agency under the laws of the United States, whereby the 96 administrator makes payment of unemployment compensation out of 97 funds supplied by the United States, may in like manner file an appeal 98 from the decision of such claim and apply for a hearing, and the 99 United States or the agency [thereof which] of the United States that 100 had employed such person may in like manner appeal from the 101 decision on such claim and apply for a hearing.
- 102 (4) No examiner shall participate in any case in which the examiner 103 is an interested party.
- 104 (b) The administrator shall adopt regulations, in accordance with 105 the provisions of section 31-244 and chapter 54, effective July 1, 1992, 106 establishing procedures and guidelines necessary to implement the 107 provisions of this section. Such regulations shall prescribe a minimum 108 number of days of advance notice to be afforded parties prior to a 109 hearing and standards for determining the timeliness of written 110 responses to hearing notices.
- 111 (c) Not later than January 1, 2002, the administrator shall issue all 112 vital written notices and materials explaining the provisions of this 113 section in both English and Spanish.
- 114 (d) The administrator shall identify claimants who need language 115 assistance and shall promptly transmit the identity of such claimants to 116 the Employment Security Appeals Division in the event an appeal of 117 the claim is taken pursuant to section 31-244a.
- 118 (e) For purposes of this section, "vital written notices" includes

- 119 applications, including tele-benefits claim forms, notices of hearing, 120 notices advising claimants of the availability of qualified interpreters,
- 121 decisions by examiners, notices specific to a claimant's claim for
- 122 benefits and booklets regarding eligibility and appeal rights and 123 procedures; and "qualified interpreter" means an individual who has
- 124 (1) a demonstrated proficiency in both English and Spanish, (2)
- 125 participated in orientation and training that includes the skills and
- ethics of interpreting, (3) fundamental knowledge in both English and 126
- 127 Spanish of any specialized terms or concepts peculiar to the
- unemployment compensation system, (4) appropriate sensitivity to the 128
- 129 culture of the person with limited English proficiency, and (5) a
- 130 demonstrated ability to convey information accurately in both English
- 131 and Spanish.
- 132 (f) Nothing in this section shall be construed to prohibit the
- 133 administrator from issuing any other unemployment compensation
- 134 notices and materials in both English and Spanish and in any other
- 135 language or from making interpreters proficient in a language other
- 136 than Spanish available to claimants.
- 137 Sec. 3. Section 31-244a of the general statutes is repealed and the
- 138 following is substituted in lieu thereof:
- 139 (a) The conduct of hearings and appeals, including [notice thereof]
- 140 notices of hearings and appeals, shall be in accordance with rules of
- 141 procedure prescribed by the board in regulations adopted pursuant to
- 142 section 31-237g. No formal pleadings shall be required, beyond such
- 143 notices as the board provides for by its rules of procedure. The referees
- 144 and the board shall not be bound by the ordinary common law or
- 145 statutory rules of evidence or procedure. They shall make inquiry in
- such manner, through oral testimony and written and printed records, 146
- 147 as is best calculated to ascertain the substantial rights of the parties and 148 carry out justly the provisions of this chapter. A record shall be
- 149 prepared of all testimony and proceedings at any hearing before a
- referee and before the board but need not be transcribed unless an 150
- 151 appeal is taken from the referee's or board's decision, as the case may

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- 153 (b) The Employment Security Appeals Division shall provide, at no 154 cost to either party, a qualified interpreter at a hearing or appeal at the 155 request of a party or upon the determination by a referee that an 156 interpreter is necessary. The referee shall administer a separate oath 157 or affirmation to each qualified interpreter who participates in a 158 hearing or appeal which shall obligate the interpreter to interpret the
- 159 proceedings in a complete and accurate manner.
- 160 (c) Not later than January 1, 2002, the Employment Security Appeals Division shall issue all vital written notices and materials explaining 161 162 the provisions of this section in both English and Spanish.
- 163 (d) For purposes of this section, "vital written notices" includes notices of appeal rights, notices of hearing, notices advising claimants 164 of the availability of qualified interpreters and booklets regarding 165 166 appeal rights and procedures; and "qualified interpreter" means an 167 individual who has (1) a demonstrated proficiency in both English and Spanish, (2) participated in orientation and training that includes the 168 169 skills and ethics of interpreting, (3) fundamental knowledge in both English and Spanish of any specialized terms or concepts peculiar to 170 171 the unemployment compensation system, (4) appropriate sensitivity to 172 the culture of the person with limited English proficiency, and (5) a 173 demonstrated ability to convey information accurately in both English 174 and Spanish.
  - (e) Nothing in this section shall be construed to prohibit the appeals division from issuing any other unemployment compensation notices and materials in both English and Spanish and in any other language or from making interpreters proficient in a language other than Spanish available to claimants.
- 180 Sec. 4. (NEW) Beginning June 30, 2002, and every two years 181 thereafter, the administrator shall conduct a survey of each public 182 employment bureau or branch, tele-benefits call center and appeals 183 division office to determine:

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- 184 (1) The number of public contact positions in each public 185 employment bureau or branch, tele-benefits call center and appeals 186 division office;
  - (2) The number of bilingual employees in public contact positions in each public employment bureau or branch, tele-benefits call center and appeals division office;
    - (3) The number and percentage of non-English-speaking persons, sorted by native language, who are served by each public employment bureau or branch, tele-benefits call center and appeals division office;
  - (4) The number of anticipated vacancies in public contact positions in each public employment bureau or branch, tele-benefits call center and appeals division office;
  - (5) Whether the combined use of contracted telephone-based interpreter services and bilingual employees in public contact positions adequately serves the needs of persons with limited English proficiency who are served by the unemployment compensation system; and
  - (6) Any other information necessary to determine whether the needs of persons with limited English proficiency are being met in the adjudication of unemployment compensation claims and appeals.

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